



**An Invitation to you from the SEC—Join Other Investors in an SEC Roundtable on July 12, 2018**

Staff from the U.S. Securities and Exchange Commission will host an Investor Roundtable at the SEC's Headquarters Office on July 12, 2018. The Staff from the Division of Investment Management and the Division of Trading and Markets would like to have an open and on the record discussion with individual retail investors about the **SEC's proposed package of rules designed to enhance the quality of investment advice** and would like your feedback. Of course, you are not required to provide feedback; your participation is voluntary. The SEC will memorialize the discussion by transcription to help the SEC and the public consider what sort of rules there should be about investment advice and financial professionals.

**The Investor Roundtable will take place on Thursday, July 12, 2018, from 10:30 am (EDT) to 11:30 am (EDT) at the SEC's Office, located at [100 F Street, NE, Washington, DC 20549](#).**

If you are joining us for the event, **please register via an email** to the following email box: [Outreach@sec.gov](mailto:Outreach@sec.gov) . *Space is limited*, so please register as soon as possible, so that we can confirm your seat at the event.

Attached for your convenience, please find [two] documents that will help inform the discussion: a document entitled, "**Which Type of Account is Right for You – Brokerage, Investment Advisory or Both?**" and a feedback form that asks what you think about the summary that **describes your relationship with your investment adviser or your broker-dealer** (your firm) and your financial professionals.

You can access the Commission's **press release and fact sheet** about the proposed Relationship Summary and package of rules [here](#) . Electronic access to the feedback form is found [here](#).

The roundtable is expected to be **interactive** and we ask that attendees are **retail investors who work with a financial professional and have no affiliation to the financial services industry**.

If you have any questions, please contact Suzanne McGovern from the SEC's Office of Investor Education and Advocacy at [mcgoverns@sec.gov](mailto:mcgoverns@sec.gov) .

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